



The Protocol Review: How to Read for Both the Big Picture and Your Responsibilities in Implementing a Study

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Learning Objectives

By the end of the session, you will be able to:

- Describe your role as the liaison between the study and the public
- Discuss which components of the protocol are most relevant for study execution by study staff
- Identify components in the protocol that facilitate the creation of study checklists

Protocols

Industry vs grant funded protocols

 For today, focus is on time of implementation; startup and approvals are completed

Protocols

Why is the protocol important?

- Road map, source of information for study execution.
- The big picture: you are the liaison between the study team and the participants, and between the study and the public.

- 1. KEY ROLES AND CONTACT INFORMATION
- 2. BACKGROUND
 INFORMATION AND
 SCIENTIFIC RATIONALE
- 3. OBJECTIVES/STUDY AIMS
- Primary Objectives
- Secondary Objectives
- 4. STUDY DESIGN
- 5. PARTICIPANT SELECTION
- Participant Inclusion Criteria
- Participant Exclusion Criteria

- 6. RECRUITMENT PLAN
- 7. STUDY INTERVENTION
- 8. VISIT SCHEDULE AND ASSESSMENTS
- 9. ASSESSMENT IF SAFETY
- Adverse Event
- Serious Adverse Event
- 10. BIOSTATISTICS
- 11. DATA MANAGEMENT
- 12. LITERATURE REFERENCES
- 13. APPENDICES
- Schedule of Events
- Lab Manual

The Why of the Protocol

- 2. BACKGROUND INFORMATION AND SCIENTIFIC RATIONALE
- 3. OBJECTIVES/STUDY AIMS
- Primary objective
- The primary objective of this study is to evaluate the effect of a dietary supplement, given as an oral tablet, on muscle energetics as measured by a muscle fatigue test in elderly subjects.
- Secondary objective
- The secondary objectives of the study are to assess the safety and tolerability of a single oral dose of the supplement in elderly subjects.

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The How of the Protocol

4. STUDY DESIGN



"They want us to double check our methodology.

Your turn to flip."



The How of the Protocol

STUDY DESIGN

 A Phase 2 randomized, double-blind, placebo-controlled study to evaluate the impact of a dietary supplement on muscle function in the elderly

SIGNATURE PAGE LIST OF ABBREVIATIONS PROTOCOL SUMMARY

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The Who of the Protocol

5. PARTICIPANT SELECTION

- Participant Inclusion Criteria
- Participant Exclusion Criteria
- 6. RECRUITMENT PLAN



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The When, What, and Where

- 7. STUDY INTERVENTION
- 8. VISIT SCHEDULE AND ASSESSMENTS

Assessment specifics

- Familiarize yourself with these
- Can you experience the assessments yourself?
 - Diaries
 - Questionnaires
 - 6-minute walk test
 - Neurocognitive assessments
 - Procedures: can you observe?



APPENDIX 1 Schedule of Events

Parameters	Screening	Baseline Visit 1 ^a	Visit 2 (Day 21)	Visit 3 (Day 60)
Informed consent	Х			
Pulmonary Function Tests	Х			Χ
6 minute walk test	Χ			X
Inclusion and exclusion criteria	Х			
Medical history	Χ			
Physical examination	Х			Χ
Height and Weight	Х			Х
Laboratory – CBC, chemistry panel	Х			Х
Laboratory – serum biomarkers ^b		Х	Х	Х
Laboratory – liver function tests		Х		Х
ECG	Х			Х
Vital Signs ^c	Х	Х	Х	Х
Study Product Dispensed		Х	Х	
Peak Flow Meter ^d	Х	X	Х	Х
Review Exercise Diary		Х	Х	Х
Quality of Life Questionnaires		Х		Х
Concomitant Medications	Х	Х	Х	Х
Adverse Events		Х	Х	Х

a Should occur no more than 14 days after screeing visit

d Peak flow meter dispensed at screening visit for home use; reviewed at study visits



b Participant should be fasting

c To include heart rate, blood pressure, temperature, and respiratory rate

The When, What, and Where

Labs and specimen processing

- Lab medicine vs research testing service (RTS)
- Watch out for aggregate labs
 - Comprehensive metabolic panel

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Safety

9. ASSESSMENT OF SAFETY

- Adverse Event
- Serious Adverse Event

Reporting requirements

- To whom
- By when
- Requirements vary



"So I guess this probably counts as an adverse event."

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Appendices

13. APPENDICES

- Schedule of events
 - Footnotes!
- Lab manual
 - Processing times

Participant Visits

What, who, when, and where?

Mental run-through; think it through.

Where do you start?

- Checklists
- Participant binders
- Equipment, questionnaires, study assessments



Participant Visits

Traveling kit

- Checklists
- Protocol
- Schedule of events
- Extra consent forms
- Post-its and pens
- Assessments/questionnaires
- Lab manual

Questions For You

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